

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

Application Number

Filing Date

06/26/2003

First Named Inventor

Reed David Hanson

Art Unit

Examiner Name

Attorney Docket Number

STL11290

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          |                 | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|-----------------|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                 |                                |  |   |
|                    | AA                    | US-                                      | 4,835,633       | 05/30/1989                     | Edel et al.  |   |
|                    | AB                    | US-                                      | 5,602,689       | 02/11/1997                     | Kadlec et al.                                      |   |
|                    | AC                    | US-                                      | 5,825,579       | 10/20/1998                     | Cheung et al.                                      |   |
|                    | AD                    | US-                                      | 5,912,782       | 06/15/1999                     | Lee et al.   |   |
|                    | AE                    | US-                                      | 5,978,752       | 11/02/1999                     | Morris   |   |
|                    | AF                    | US-                                      | 6,046,879       | 04/04/2000                     | Hampshire  |   |
|                    | AG                    | US-                                      | 6,085,147       | 07/04/2000                     | Myers  |   |
|                    | AH                    | US-                                      | 6,101,058       | 08/08/2000                     | Morris   |   |
|                    | AI                    | US-                                      | 6,130,798       | 10/10/2000                     | Chang et al.                                       |   |
|                    | AJ                    | US-                                      | 6,347,018 B1    | 02/12/2002                     | Kadlec et al.                                      |   |
|                    | AK                    | US-                                      | 6,459,808 B1    | 10/01/2002                     | Brand  |   |
|                    | AL                    | US-                                      | 6,490,121 B1    | 12/03/2002                     | Pruett et al.                                      |   |
|                    | AM                    | US-                                      | 2002/0036860 A1 | 03/28/2002                     | Bi et al.  |   |
|                    | AN                    | US-                                      | 2002/0124132 A1 | 09/05/2002                     | Haines et al.                                      |   |
|                    | AO                    | US-                                      | 2002/0176199 A1 | 11/28/2002                     | Gomez et al.                                       |   |
|                    | AP                    | US-                                      | 2003/0002197 A1 | 01/02/2003                     | Seng et al.  |   |
|                    |                       | US-                                      |                 |                                |  |   |
|                    |                       | US-                                      |                 |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |   |                |  |
|--------------------------|-----------------------|---|--------------------------------|--|---|----------------|--|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |  |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |  |
|                          |                       |   |                                |  |   |                |  |
|                          |                       |   |                                |  |   |                |  |
|                          |                       |   |                                |  |   |                |  |
|                          |                       |   |                                |  |   |                |  |
|                          |                       |   |                                |  |   |                |  |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|              |   |                 |          |
|--------------|---|-----------------|----------|
| Inventor(s): | Reed David Hanson et al.                                | Examiner:       |          |
| Serial No.:  |   | Group Art Unit: |          |
| Filed:       | June 26, 2003   | Docket No.:     | STL11290 |
| Title:       | Guiding A Sensor Using A Broadly-Curved Lateral Profile |                 |          |

|  |  |
|--|--|
| Mail Stop Patent Application<br>Commissioner For Patents<br>PO Box 1450<br>Alexandria, VA 22313-1450 |  |
|--|--|

INFORMATION DISCLOSURE STATEMENT

**Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)**

Form PTO/SB/08A is attached hereto.

**Section 2. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all items listed in Form PTO/SB/08A accompany this Information Disclosure Statement.

**Section 3. Remarks or Additional Statements**

- ☒ This Information Disclosure Statement is being filed along with a new patent application.
- ☐ This Information Disclosure Statement is being filed *within* three months of the filing date of the application or date of entry into the national stage of an international application or *before* the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).
- ☐ 37 C.F.R. §1.97(c) This Information Disclosure Statement is being filed *after* three months of the filing date of this national application or the date of entry of the national Stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
1. a final action under section 1.113; or
  2. a notice of allowance under section 1.311  
whichever occurs first.
- ☐ A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made; or
- ☐ No fee is required because certification statement under 37 C.F.R. §1.97(e) is noted below.

- ☐ 37 C.F.R. §1.97(d) This Information Disclosure Statement is being filed *after* a final action under §1.113, or a notice of allowance under §1.113, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.
- ☐ A fee under 37 C.F.R. §1.17(p) is required and either of the statements below (37 C.F.R. §1.97(e)).
- ☐ 37 C.F.R. §1.97(e) Choose either Statement:
- ☐ Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing; or
- ☐ No item of information contained in the IDS was cited in a communication from a foreign patent office in the counterpart foreign application, and to my knowledge no item of information contained in the IDS was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this IDS.


Consideration of the listed items is respectfully requested.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC  
(Assignee of Entire Interest)

Date

6/26/03

  
David K. Lucente, Reg. No. 36,202  
SEAGATE TECHNOLOGY LLC  
Intellectual Property Dept. - COL2LGL  
389 Disc Drive  
Longmont, CO 80503-0001  
(720) 684 - 2295 (telephone)  
(720) 684 - 2588 (facsimile)